



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Javier B. ARELLANO et al.  
Appl. No. : 10/736,690  
Filed : December 17, 2003  
For : SYSTEM AND METHODS FOR AN ARCHITECTURAL  
FRAMEWORK FOR DESIGN OF AN ADAPTIVE, PERSONALIZED,  
INTERACTIVE CONTENT DELIVERY SYSTEM  
Group Art Unit : 2178  
Examiner : Not Yet Assigned  
Confirmation No. : 4131

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
U.S. Patent and Trademark Office  
220 20th Street S.  
Customer Window, Mail Stop \_\_\_\_\_  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, VA 22202

Sir :

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98 and supplemental to the Information Disclosure Statements that were filed on September 20, 2004 and July 1, 2004, Applicants submit a copy of an Office Action that was mailed on September 28, 2004, with respect to U.S. Patent Application No. 09/578,904, in which the following documents were cited:

U.S. Patent No. 6,236,395;

U.S. Patent No. 6,587,127;

U.S. Patent No. 6,128,624;

U.S. Patent Application Publication No. 2001/0014868; and

U.S. Patent No. 6,338,050.

Further to the U.S. Patent and Trademark Office's decision to waive the requirement under 37 C.F.R. §1.98 (a)(2)(i), copies of the U.S. patents and U.S. patent application publication are not enclosed. However, if any copies are needed, the Examiner is respectfully requested to contact the undersigned.

Applicants respectfully request that the Examiner consider the above material and cite the same. The above-noted documents are listed on the attached PTO-1449 Form. The Examiner is requested to initial the appropriate spaces on the attached Form and to return a copy of the completed Form to Applicants with the next official communication in the present application.

Applicants note that an Office Action on the merits has not issued in the present application, and thus no fee is believed necessary to ensure consideration of the submitted material. However, if an Office Action on the merits has issued and is crossing this statement in the mail, the undersigned hereby authorizes the Commissioner to charge any fee necessary for the consideration of this statement, including any payment under 37 C.F.R. §1.17 (p) to Deposit Account No. 19-0089.

Should the Examiner have any questions, the Examiner is invited to contact the undersigned at the below-listed telephone number.

Respectfully Submitted,  
Javier B. ARELLANO et al.

*Bruce H. Bernstein*

*10/14/04*

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October 14, 2004  
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FORM PTO-1449		U.S. Department of Commerce Patent and Trademark Office							Atty. Docket No. P24306		Application No. 10/736,690		
		INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)							Applicant Javier B. ARELLANO et al.				
									Filing Date December 17, 2003		Group 2178		
<b>U.S. PATENT DOCUMENTS</b>													
EXAMINER INITIAL		DOCUMENT NUMBER					DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		6	2	3	6	3	9	5	05/22/01	SEZAN et al.			
		6	5	8	7	1	2	7	07/01/03	LEEKE et al.			
		6	1	2	8	6	2	4	10/03/00	PAPIERNIAK et al.			
2001	/	0	0	1	4	8	6	8	08/16/01	HERZ et al.			
		6	3	3	8	0	5	0	01/08/02	CONKLIN et al.			
<b>FOREIGN PATENT DOCUMENTS</b>													
		DOCUMENT NUMBER				DATE	COUNTRY		CLASS	SUBCLASS	TRANSLATION YES      NO		
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>													
EXAMINER							DATE CONSIDERED						
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.													